



National Institute of Technology, Uttarakhand



Annual Report
2012-13

CONTENTS

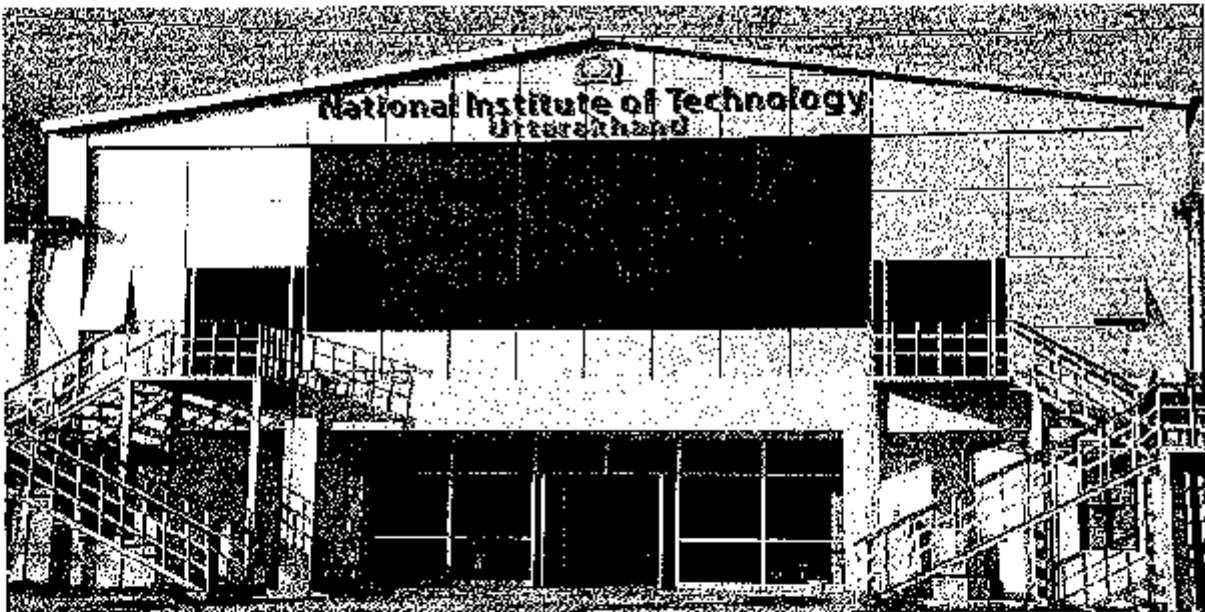
		Page No.
	BRIEF PROFILE OF THE INSTITUTE	3
01.00	INTRODUCTION	
01.01	Objectives	4
01.02	Education System	4
2.00	AN OVERVIEW	
02.01	Historical Background	6
02.02	Location	6
02.03	Campus	7
02.04	Administration	7
02.05	Academic Programmes	7
02.06	Courses Offered	7
02.07	Admission Procedure	7
02.08	Students	8
02.09	Examination and Evaluation	8
02.10	Placement	9
02.11	Games and Sports	9
03.00	THE STAFF	
03.01	The Faculty	10
03.02	Non- Academic Staff	10
04.00	ACADEMIC PROGRAMMES	
04.01	Courses Offered	11
04.02	Course-wise Enrollment with Gender, Caste break-up	11
04.03	Admission Statistics- UG Programmes course-wise	12
04.04	Total Students strength in the Institute 2012-13	13
04.05	The Hostels	13

05.00	RESEARCH AND DEVELOPMENT ACTIVITIES	14
06.00	ADMINISTRATIVE AND STATUTORY BODIES	15
07.00	CONCESSIONS FOR SCs, STs and PWD STUDENTS	17
08.00	FINANCIAL STATUS	18
09.00	CENTRAL FACILITIES AND SERVICES	
09.01	Computer Centre	19
09.02	Workshop	19
09.03	Library	19
09.04	Laboratories and Infrastructure	21
09.05	Hospital	22
09.06	Physical Facilities	22
09.07	Games and Sports Facilities	22
09.08	Other Facilities like Hostels, Messes, Staff Quarters	22
	ANNUAL ACCOUNTS AND AUDIT REPORT	
	Audit Report	23

NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND

BRIEF PROFILE OF THE INSTITUTE

Presently NIT Uttarakhand is carrying out its activities from its temporary campus at Government Polytechnic Srinagar- Garhwal, Uttarakhand. Since classes for Ist academic session i.e. 2010-11, commenced on July 2010, Ind academic session i.e. 2011-12 commenced in July 2011, IIIrd academic session i.e. 2012-13 commenced in July 2012. Total 227 students are on roll of the Institute during the year. To take care of teaching and learning process, 31 Teaching staff and 22 Non-teaching staff are appointed in the Institute.



Academic Block

01.00 INTRODUCTION

01.01 Objectives

To provide value-based technological education to students and thereby produce morally responsible citizens who will contribute to the economic growth of the country.

To provide state of the art facilities for the optimal development of teaching pedagogies and research activities.

To emphasize upon the collaboration with industry and commerce and thereby provide an understanding of practical implication of education.

01.02 Education System

Credit based Semester system: The Under Graduate programmes follow the Semester pattern and Credit based system of Teaching and evaluation with effect from the Academic year, 2010-11. The Academic year is divided into two Semesters, namely, the Autumn Semesters and the Spring Semesters.

Attendance: Students are required to attend every lecture, tutorial and practical class. However, to account for late enrollment, sickness or other such contingencies, the attendance requirement is minimum 75 % of the classes actually held. A student with less than 75% attendance in a course during the semester, in lectures, tutorials and practical taken together (as applicable), will be awarded 'W' attendance in that course irrespective of his/her performance in the tests. The course coordinator will award 'W' grade to the student who is deficient in attendance taking into account the consolidated attendance record for the whole semester for the course concerned. For the purpose of attendance calculation, every scheduled practical / tutorial class will count as one unit irrespective of the number of contact hours. Attendance record is maintained based upon roll calls (or any equivalent operation) in every scheduled lecture, tutorial and practical class. The course coordinator maintains and consolidates attendance record for the course (lectures, tutorials and practicals together, as applicable).

To account for circumstances beyond control, students are permitted in only two semesters the attendance below 75%, provided it is at least 65%. Attendance grade of 'L' shall be awarded in such cases. A student who is awarded 'L' grade(s) in a course(s) will have an option of availing/not availing 'L' grade facility in one or more courses as per his/her choice. The student is awarded a 'W' grade(s) in a course(s) in which he/she does not avail 'L' grade facility. The student is required to give an appropriate undertaking in this regard.

The attendance is awarded as per following table and these grades appear on grade card.

Sl. No.	Attendance	Grade	Remark
1	$\geq 95\%$	H	High Attendance
2	$\geq 85\%$ but $< 95\%$	N	Normal Attendance
3	$\geq 75\%$ but $< 85\%$	M	Minimum Attendance
4	$\geq 65\%$ but $< 75\%$	L	If L grade is not awarded earlier in any two semesters / terms
5	$\geq 65\%$ but $< 75\%$	W	If L grade is awarded in any two semesters earlier
6	$< 65\%$	W	-

Registration: Every student is required to be present and register at the commencement of each semester on the day notified in the Academic calendar.

- Registration for all courses is compulsory during the first two semesters of B. Tech. programme as all the courses are common for all the branches.
- For all the Semesters, the registrations are organized departmentally under the supervision of the Head of the Department.
- Only those students will be permitted to register who have:
 - Cleared all the dues of the Institute and Hostel in the previous Semesters.
 - Paid the prescribed fees for the current Semester, and
 - Not been debarred from registering for a specified period on disciplinary or any other ground.
- To be able to register in the second year and continue his / her study in the Institute at the end of the first year, a student must complete satisfactorily atleast 30 credits (after supplementary examinations) and
 - To be able to register in the third year, a student should have satisfactorily completed atleast 70 credits in second year first and second Semesters put together (after supplementary examinations) and should have satisfactorily cleared all the courses of first year.
 - To be able to register in the third year, a student should have satisfactorily completed atleast 110 credits in second year first and second Semesters put together (after supplementary examinations) and should have satisfactorily cleared all the courses of second year.
 - To be able to register in the fourth year, first Semester, a student should have satisfactorily completed atleast 130 credits in third year first and second Semesters put together (after supplementary examinations) and should have satisfactorily cleared all the courses of third year.

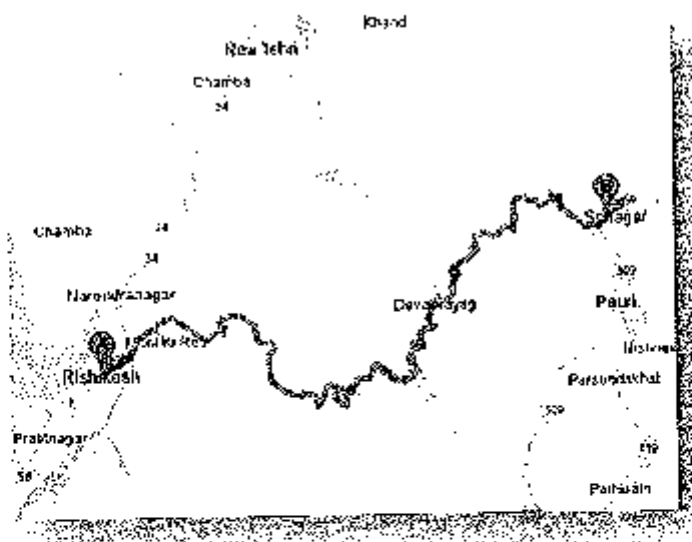
02.00 AN OVERVIEW

02.01 Historical Background

National Institute of Technology (NIT) Uttarakhand is one amongst the ten newly sanctioned NITs in 2009 by the Government of India, Ministry of Human Resource Development, Department of Technical Education, under the 11th five year plan. The Institute is fully funded by Government of India under the Ministry of Human Resource Development. Presently National Institute of Technology (NIT) Uttarakhand is carrying out its activities from its temporary campus at Government Polytechnic Srinagar-Garhwal, Distt. Pauri- Garhwal, Uttarakhand.

02.02 Location

Presently, the Institute is carrying out its activities from its temporary Campus which is located in Government Polytechnic, Srinagar Garhwal (Distt. Pauri- Garhwal). Srinagar-Garhwal is 105 kms from Rishikesh on National Highway No. 58 leading to Badrinath (193 kms from Srinagar). Rishikesh is the nearest Railway Station. Haridwar, a major Railway Station in Uttarakhand is 130 km from Srinagar. The nearest airport is Jolly Grant, Dehradun which is 125 kms away from Srinagar. Taxis are available for Srinagar from the airport. Srinagar is well-connected by road from Dehradun, Rishikesh and Haridwar. It takes about 3 hours to reach Srinagar from Rishikesh.



02.03 Campus

For running the Institute, State Government provided 04 buildings (including hostel with a capacity of 60 students) in the premises at the Govt. Polytechnic. Hence, being a temporary location it is a residential campus with all basic facilities for the students. Finalization of land for permanent campus is awaited.

2.04 Administration

- The Board of Governors, Finance Committee, Building & Works Committee & the senate are statutory committee for grievance of the Institute.

02.05 Academic Programmes

The institute offers the undergraduate courses of B. Tech. in following area:

- 1) Electrical Engineering
- 2) Electronics and Communication Engineering
- 3) Computer Science and Engineering
- 4) Mechanical Engineering

02.06 Courses Offered

Undergraduate Courses (B. Tech.)

Sl. No.	Branch	Current Year intake
1	Electrical and Electronics Engineering	30
2	Electronics and Communication Engineering	30
3	Computer Science and Engineering	30
4	Mechanical Engineering	30

02.07 Admission Procedure

B. Tech.

Admission to the B. Tech. Programmes is made on the basis of the performance in all India Engineering Entrance Examination (AIEEE) for the Indian Nationals and under the DASA scheme for the NRIs following the guidelines of CCB (Central Counseling Board). The CCB works under the directions from Government of India, Ministry of HRD and with full co-operation from National Informatics Centre (NIC), CBSE, and Participating Institutions (PIs). The rules and regulations for the admission change from time to time. The main stages in the procedure are the conduct of the entrance test (AIEEE), conduct of off-line counseling, conduct of on-line counseling and admission. Students having passed 10+2 from the state Board or CBSE with Physics, Chemistry, Mathematics & English are eligible for entrance test for admission to first year engineering course.



02.08 Students

Numbers of students admitted in First year B. Tech. during the year 2012-13 are:

Sl. No.	Branch	Boys	Girls	Total
1.	Computer Science and Engineering	23	02	25
2.	Electrical and Electronics Engineering	20	01	21
3.	Electronics and Communication Engineering	18	02	20
4.	Mechanical Engineering	29	0	29
TOTAL		90	05	95

02.09 Examinations and Evaluations

Grade points:

The grades and their equivalent numerical points are listed below:

Grade	Grade points	Description
AA	10	Excellent
AB	9	Very good
BB	8	Good (Above Average)
BC	7	Meets all targeted objectives of the course.
CC	6	Below expectations
DD	4	Marginal, Meets minimum targeted objectives of the course.
EF	0	Poor
FF	0	Very poor
GG	-	Incomplete
UU	-	Unsatisfactory
PP	-	Audit pass
YY	-	Audit fail
XX	-	Withdrawal
KK	-	Continued
SS	-	Satisfactory completion (Zero credit course)
ZZ	-	Unsatisfactory / Failed (Zero credit course)
JJ	-	Failed three times in the core course. Permitted to replace it by Elective Course

Evaluation of Performance:

The performance of a student is evaluated in terms of two indices, via, the Semester Grade Point Average (SGPA) which is the Grade Point Average for a semester and Cumulative Grade Point Average (CGPA) which is the Grade Point Average for all the completed semesters at any point in time.



The Earned Credits (ECR) is defined as the sum of course credits for courses in which students have been awarded grades between AA to DD. For UG students, credits from courses in which PP or SS grades have been obtained are also added.

The Earned Grade Points in a semester (EGP) = Σ (Course credits x Grade point) for courses in which AA- DD grade has been obtained.

The SGPA is calculated on the basis of grades obtained in all courses, except audit courses and courses in which SS / ZZ grade is awarded, registered for in the particular semester. UU grades will be considered as failed grade with Grade Point of Zero and will be included while calculating SGPA.

The CGPA is calculated on the basis of all pass grades, except audit courses and courses in which SS/ZZ grade is awarded, obtained in all completed semesters.

SGPA = EGP / Σ (Course credits) for courses registered in a semester in which AA- FF grades are awarded

CGPA = EGP / Σ (Course credits) for courses registered in all completed semesters in which AA- DD grades are awarded

The conversion formula from CGPA to percentage (%) is as under:

$$\text{Percentage (\%)} = 0.60 \times (\text{CGPA})^2 + 0.81 \times (\text{CGPA}) + 27.20$$

The conversion formula from percentage (%) to CGPA is as under.

$$\text{CGPA} = \frac{-0.81 + \sqrt{(0.81)^2 - 4 \times (0.60)(27.20 - \text{Percentage})}}{2 \times 0.60}$$

02.10 Placement

Being a new Institute, the first batch of the institute will pass out in the year 2014. Hence the placement during the year under report is Nil.

02.11 Games and Sports

The motto of the Institute is 'Sports for All and All for Sports' and throughout the year the Sports section works on it. The Institute provides good sports facilities, sports equipments, training, recreational and competitive sports programmes to the students as well as to the staff members. It encourages them to take part in games and sports to keep themselves fit and further participate in Inter- NIT and other open Sports competition in the country.

03.00 THE STAFF

03.01 The Faculty

Two regular faculties Dr.M.S.Khatri (Assistant Professor in Applied Science and Humanities) and Dr.Pawan Kumar Rakesh (Assistant Professor in Mechanical Engineering Department) joined the Institute in during the year under report. Ad-hoc arrangement was made for other departments.

03.02 Non- Academic Staff

a) The Institute has Director, appointed by the Ministry of HRD.

b) Administrative and Other Officers (On Contract)

Two officers one as Dy. Registrar and another as Assistant Registrar, were engaged on contract.

c) Non- Teaching Staff (On Contract)

Pending regular recruitment, non-teaching staff was engaged on contract during the year.

Recruitment of teaching & Nonteaching staff is under process

04.00 ACADEMIC PROGRAMMES

As mentioned at Section 02.05, the Institute offers the following programmes:

04.01 Courses Offered

B. Tech.

Sl. No.	Branch	Current Year Intake
1	Electrical and Electronics Engineering	30
2	Electronics and Communication Engineering	30
3	Computer and Science Engineering	30
4	Mechanical Engineering	30

04.02 Course-wise Enrollment with Gender, Caste break-up

a) Undergraduate Courses (B. Tech.) 2012- 13

Name of the Course	Total No. of Students (all years)			Out of Total No. of student shown in Col. (2) & (3)								
				SC			SI			Physically Handicapped		
	Boys	Girls	Total	Boys	Girls	Total	Boys	Girls	Total	Boys	Girls	Total
B.Tech.												
I st Year	90	05	95	17	01	18	06	0	06	02	01	03
II nd	64	06	70	07	03	10	06	0	06	01	0	01
III rd	57	05	62	08	02	10	06	0	06	0	0	0
Total	211	16	227	32	06	38	18	0	18	03	01	04



Class Room

b) Student on roll (Category-wise & Branch-wise) during 2012-13

Branch	1 st Year			II nd Year			III rd Year		
	Boys	Girls	Total	Boys	Girls	Total	Boys	Girls	Total
Electrical & Electronics Engineering									
Open	9	1	10	11	0	11	10	01	11
OBC	6	0	6	07	0	07	07	0	07
SC	3	0	3	03	0	03	02	0	02
ST	2	0	2	03	0	03	02	0	02
Total	20	1	21	24	0	24	21	01	22
Electronics & Communication Engineering									
Open	6	0	6	10	01	11	05	0	05
OBC	7	1	8	07	01	08	09	0	09
SC	4	1	5	04	0	04	03	02	05
ST	1	0	1	01	0	01	02	0	02
Total	18	2	20	22	02	24	19	02	21
Computer Science & Engineering									
Open	11	2	13	08	0	08	08	02	10
OBC	5	0	5	08	01	09	04	0	04
SC	6	0	6	0	03	03	03	0	03
ST	1	0	1	02	0	02	02	0	02
Total	23	2	25	18	04	22	17	02	19
Mechanical Engineering									
Open	14	0	14	0	0	0	0	0	0
OBC	9	0	9	0	0	0	0	0	0
SC	4	0	4	0	0	0	0	0	0
ST	2	0	2	0	0	0	0	0	0
Total	29	0	29	0	0	0	0	0	0
Total	90	05	95	64	06	70	57	05	62

04.03 Admission Statistics- UG Programmes course-wise

Admission Statistics-UG, Course-wise

Sl. No.	Branch	Duration	Sanctioned Intake	Actual Enrollment
1.	Electrical and Electronics Engineering	4 Years	30	21
2.	Electronics and Communication Engineering	4 Years	30	20
3.	Computer Science and Engineering	4 Years	30	25
4.	Mechanical Engineering	4 Years	30	29
	Total		120	95

04.04 Total Students strength in the Institute during 2012-13

Undergraduate students : 227

04.05 The Hostels

- One independent hostel is provided for girls on campus.
- One matron is appointed to stay in the hostel for 24 Hrs.
- Boys hostels are provided with 4/6 seated rooms. Boys hostels are also within the campus.
- Institute can accommodate 100% students in the hostels.
- Contract mess provides Breakfast, Lunch, Tea and Dinner. The mess is functioning in the hostel premises.
- Dish TV facilities are provided.
- Power back up and Wi-Fi is also available in the hostels.

05.00 RESEARCH AND DEVELOPMENT ACTIVITIES

No faculty has been recruited on regular basis. Hence, report regarding Research and Development activities is NIL.

06.00 ADMINISTRATIVE AND STATUTORY BODIES**06.01 Board of Governors:**

Shri Bhaskar Bhat Managing Director Titan Industries, Bangalore	Chairman
Prof. H. T. Thorat Director, NIT Uttarakhand	Member
Ms. Amita Sharma (IAS) Addl. Secretary to Govt, Dept. of Higher Education, MHRD	Member
Shri Yogendra Tripathi Financial Advisor, Dept. of Higher Education (IFD), MHRD	Member
Prof. Pradipta Banerji Director, IIT Rorkee	Member
Prof. S. C. Lakkad Retd. Deputy Director, IIT Bombay	Member
Prof. Geetha Nambissan Professor of Sociology, JNU, New Delhi	Member
Prof. V. K. Sharma Professor, Teacher Representative, NIT Uttarakhand	Member
Dr. A. S. Dhundi Asso. Professor, Teacher Representative, NIT Uttarakhand	Member

06.02 Finance Committee:

Shri Bhaskar Bhat Managing Director Titan Industries, Bangalore	Chairman
Prof. H. T. Thorat Director, NIT Uttarakhand	Member
Prof. Pradipta Banerji Director, IIT Rorkee	Member
Prof. S. G. Lakkad Retd. Deputy Director, IIT Bombay	Member
Shri Navin Soi Director, Finance, MHRD, New Delhi	Member
Shri R. Srinivasan Director (TE), MHRD, New Delhi	Member

07.00 CONCESSIONS FOR SCs, STs and PWD STUDENTS

Govt. of India's policies are adopted for extending reservation and concession to SC/ST Students.

08.00 FINANCIAL STATUS

08.01 The Institute receives plan and non-plan Grants from the Government of India through Department of Higher Education, Ministry of HRD. The accounts are audited by CAG annually.

08.02 Statement of Income and Expenditure for the last three years is appended below:

₹ in lacs

Years	2012-2013	2011-2012	2010-2011
Total Grant Received	1,360,00,000	0	3,90,00,000
Expenditure	9,07,75,060	2,72,04,098	79,80,503
Unspent	4,90,40,339	3,8,15,399	3,10,19,497

09.00 CENTRAL FACILITIES AND SERVICES

09.01 Computer Centre

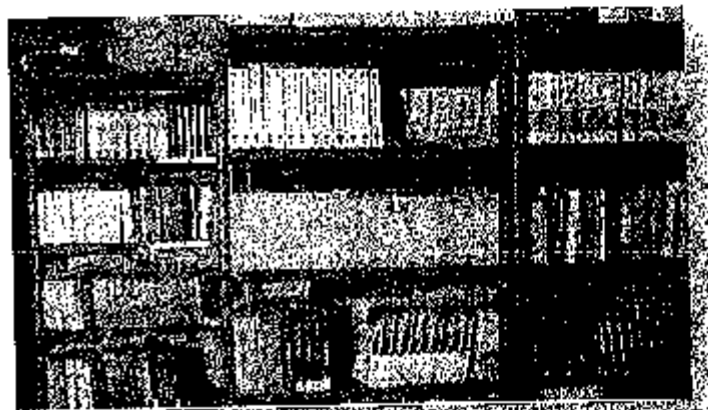
Facility is presently with the Department of Computer Science.

09.02 Workshop

The workshop of Govt. Polytechnic is being utilized for conducting practicals to students.

09.03 Library

The Library has an open access facility. Scheme of classification is UDC. The NPTEL is in progress. E-books is also in progress. The total Collection of books in Library of National Institute of Technology, Uttarakhand is 10846. Out of which there are 10741 textbooks and 105 reference books. The Institute Library uses E - Information resources such as CDS-DVDS and Database. Seating capacity of the reading room is 40. Automation process is going on through LIBSYS Software.



Library

The services/facilities of Circulation and Clipping are provided in the Library. Students are allowed to retain books for examination. Maximum Number of days for which books are permitted to be retained is 21 days. Maximum Numbers of books permitted for issue is 07 for students and 10 for Staff. The average number of book issued/returned per day is 40 to 50. Average Number of users who visited and consulted per month is 1500.

List of Magazines available in the institute Library is as following:

- Partiyogita darpan
- Chronicle
- Out Look
- Cricket Bharti
- Chanakya
- Partiyogita kiran
- India today

- Success Mirror
- Mathematics today
- Biology today
- Chemistry today
- Physics for you
- Renu General Knowledge & Word vision
- Samsamayki Mahasagar
- Cronical (year book)
- Reader's Digest
- Varsiki
- Partiyogita Darpan (Samsamiki Varsiki)
- Vigyan Pragati

List of Newspapers available in the Institute Library is as following:

- Dainik Jagran
- Amar Ujala



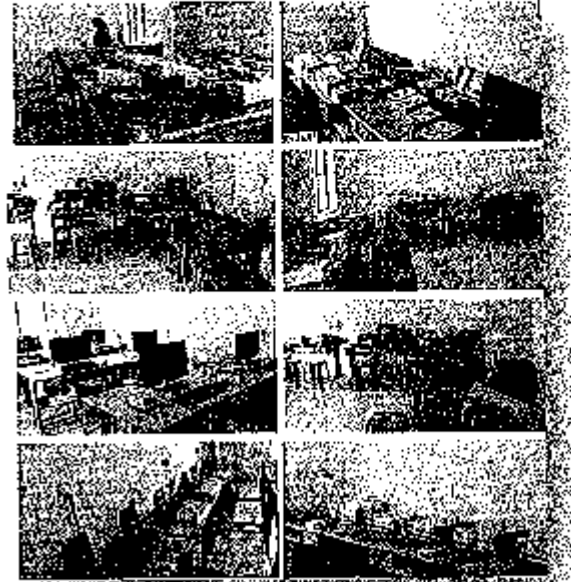
- Hindustan
- Rashtriya Sahara
- Punjab Kesari
- Times of India
- Hindustan Times
- Economics Times
- Employment newspaper
- Hindustan Jobs

09.04 Laboratories and Infrastructure

Department of Electronics and Communication Engineering

Following laboratories of Electronics Engineering Department are established with modern equipment:

1. Analog & Digital Communication Laboratory
2. Digital Logic Design & DSD Laboratory
3. Microprocessor Laboratory
4. Work Shop
5. MATLAB
6. Electronic Circuit Simulation Laboratory
7. Analog Circuit Laboratory
8. Linear Electronic Circuit Laboratory
9. Department of Electrical Engineering



Department of Electrical and Electronics Engineering

Following laboratories of Electrical and Electronics Engineering Department are established with modern equipment. Basic Electrical Engineering Laboratory

1. Electrical Machines Laboratory
2. Power Electronics Laboratory
3. Measurement Laboratory
4. Protection Laboratory
5. Control System Laboratory



Department of Computer Science and Engineering

There are 110 Personal Computers, 02 Tablet PC, Blade Server, Cisco Router, UTM available in Institute. Online UPS of 20 KVA is provided in CSE Department for Blade Server which hosts following softwares which are available across the Institute.

1. Microsoft Windows Server 2003 R2
2. Microsoft Office 2010

3. Windows 7 Professional
4. Power Simulation (PSIM-5 seats)
5. MATLAB (all Modules-25 seats)
6. NPTEL Videos
7. E-books (Under procurement)



09.05 Hospital

The Institute being on temporary site, the services of Govt. hospital are utilized whenever required.

09.06 Physical Facilities

The playgrounds of Govt. Polytechnics are utilized.

09.07 Games and Sports Facilities

Preparation of Volleyball Court, Badminton Court is under progress.

Students are provided with Indoor games facilities viz Table Tennis, Carom, Chess etc.



09.08 Other Facilities like Hostels, Messes, Staff Quarters

Hostel for boys with capacity of 60 students & a mess caters the needs of lodging & boarding of the students on campus.

कार्यालय प्रधान निदेशक लेखापरीक्षा (केन्द्रीय) लखनऊ, शाखा कार्यालय इलाहाबाद

सत्यानिष्ठा भवन 15 -ए दधानन्द मार्ग इलाहाबाद

पत्र संख्या: स्वा.नि.0(केन्द्रीय)/पू.ले.प.-46/2013-14/

दिनांक: 3.2.2014

सेवा में,

सचिव, भारत सरकार,
मानव संसाधन विभाग मंत्रालय,
माध्यमिक उच्च शिक्षा विभाग,
शास्त्री भवन, नई दिल्ली - 110001

Ministry of Education, Government of India
Letter No. 21
3/04/14 S.P. Singh

विषय: राष्ट्रीय प्रौद्योगिकी संस्थान श्रीनगर पौड़ी, उत्तराखण्ड के वर्ष 2012-13 के लेखों पर आधारित पृथक लेखा परीक्षा प्रतिवेदन।

महोदय,

1. राष्ट्रीय प्रौद्योगिकी संस्थान उत्तराखण्ड के वर्ष 2012-13 के लेखों पर आधारित पृथक लेखापरीक्षा प्रतिवेदन (अंग्रेजी) तथा वार्षिक लेखों की प्रति अग्रसारित कर रहा हूँ।

2. कृपया सुनिश्चित करें कि पृथक लेखापरीक्षा प्रतिवेदन एवं सम्बन्धित लेख संसद के दोनों सदनों के सामुख प्रस्तुत हुए।

3. कृपया पृथक लेखापरीक्षा प्रतिवेदन एवं लेखों को संसद के दोनों सदनों की समक्ष अन्तिम रूप से प्रस्तुत करने की तिथि भारत के नियंत्रक एवं महालेखापरीक्षक के साथ-साथ इस कार्यालय को भी सूचित करने का कष्ट करें।

संलग्नक: उपरोक्तानुसार।

आदीय,

प्रधान निदेशक लेखापरीक्षा (केन्द्रीय)

दिनांक: 26.03.2014

पत्र संख्या: स्वा.नि.0(के)/पू.ले.प.-46/2013-14/ 292

वर्ष 2012-13 के लेखों पर पृथक लेखापरीक्षा प्रतिवेदन (अंग्रेजी) की प्रति निदेशक, राष्ट्रीय प्रौद्योगिकी संस्थान श्रीनगर पौड़ी, उत्तराखण्ड 246 174को आवश्यक तर्जवाही हेतु प्रेषित है। संस्थान यदि आवश्यकता अनुभव करें तो इस प्रतिवेदन का हिन्दी अनुवाद करवा सकता है परन्तु इस प्रतिवेदन के हिन्दी अनुवाद में निम्नलिखित अस्वीकरण (disclaimer) अंकित होना चाहिए:

"प्रस्तुत प्रतिवेदन मूलरूप से अंग्रेजी में लिखित पृथक लेखापरीक्षा प्रतिवेदन का हिन्दी अनुवाद है। यदि इसमें कोई त्रुटि परिलक्षित होती है तो अंग्रेजी में लिखित प्रतिवेदन मन्ना होगा।"

हिन्दी अनुवाद की एक प्रति इस कार्यालय को भी प्रेषित करने का कष्ट करें।

संलग्नक: उपरोक्तानुसार।

Prad...
उपनिदेशक लेखापरीक्षा (केन्द्रीय)

Speed Post

**Separate Audit Report of the Comptroller & Auditor General of India
on the Accounts of National Institute of Technology, Uttarakhand for
the year ended 31 March 2013.**

We have audited the attached Balance Sheet of the National Institute of Technology, Uttarakhand (the Institute) as at 31 March 2013, the Income & Expenditure Account and Receipt & Payment Account, for the year ended on that date under Section 19(2) of the Comptroller and Auditor General's (Duties, Powers & Conditions of Service) Act, 1971 read with Section 22(2) of the National Institutes of Technology Act, 2007. These financial statements are the responsibility of the Institute. Our responsibility is to express an opinion on these financial statements based on our audit.

2. This Separate Audit Report contains the comments of the Comptroller & Auditor General of India (CAG) on the accounting treatment only with regard to classification, conformity with the best accounting practices, accounting standards and disclosure norms, etc. Audit observations on the financial transactions with regard to compliance with the Law, Rules and Regulations (Propriety and Regularity) and efficiency-cum-performance aspects, etc., if any are reported through Inspection Reports/CAG's Audit Reports separately.

3. We have conducted our audit in accordance with auditing standards generally accepted in India. These standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatements. An audit includes examining, on a test basis, evidences supporting the amounts and disclosure in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of financial statements. We believe that our audit provides a reasonable basis for our opinion.

4. Based on our audit, we report that:

(i) We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purpose of our audit;

(ii) The Balance Sheet, Income & Expenditure Account and Receipt & Payment Account dealt with by this report have been drawn up in the format approved by the Government of India Ministry of Finance.

(iii) In our opinion, proper books of accounts and other relevant records have been maintained by the Institute as required under Section 22(1) of the National Institutes of Technology Act, 2007 in so far as it appears from our examination of such books.

(iv) We further report that:

(A) Grant-in-aid

The Institute received grants-in-aid of ₹ 13.60 crore (under plan) during the year 2012-13. After taking the opening balance of ₹ 0.38 crore (under plan) the total fund available works out to ₹ 13.98 crore, out of which Institute utilised a sum of ₹ 9.08 crore, leaving a balance of ₹ 4.90 crore (under plan) as unutilised Grant as on 31 March 2013.

v. Subject to our observation in the preceding paragraphs, we report that the Balance Sheet, Income & Expenditure Account and Receipts & Payments Account dealt with by this report are in agreement with the books of accounts.

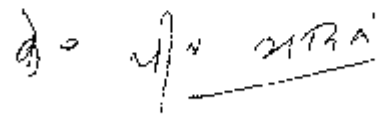
vi. In our opinion and to the best of our information and according to the explanations given to us, the said financial statements read together with the Accounting Policies and Notes on Accounts, and subject to the significant matters stated above and other matters mentioned in Annexure to this Audit Report give a true and fair view in conformity with accounting principles generally accepted in India.

- a. In so far as it relates to the Balance Sheet, of the state of affairs of the National Institute of Technology, Uttarakhand as at 31st March 2013; and
- b. In so far as it relates to Income & Expenditure Account of the surplus for the year ended on that date.

For and on behalf of the C&AG of India

Place: Lucknow

Date: 25.3.14



Principal Director of Audit (Central)

Annexure

1. Adequacy of Internal Audit System

The Institute does not have an Internal Audit Wing. Besides, no Internal Audit Manual has been prepared.

2. Adequacy of Internal Control System

The internal Control system in the Institute reflected deficiencies such as delays in preparation and submission of annual accounts, non-maintenance of Fixed Assets Register and errors in utilisation certificates.

3. System of Physical verification of fixed assets

No physical verification of fixed assets of the institute has been conducted.

4. System of Physical verification of inventory

No physical verification of inventory of the Institute has been conducted.

5. Regularity in payment of statutory dues

The statutory dues for the year 2012-13 have been paid by the Institute.

Dy. Director of Audit (Central)

INDEX

Sr. No.	Particulars	Page No.
1	Balance Sheet 2012-2013	1
2	Income and Expenditure Account 2012-2013	2
3	Schedules I Capital Fund	3
	2 Reserve and Surplus	4
	3 Earmarked / Endowment Fund	5
	4 Secured Loans and Borrowings	6
	5 Unsecured Loans and Borrowings	7
	6 Deferred Credit Liabilities	7
	7 Current Liabilities and Provisions	8
	8 Fixed Assets	9
	9 Investments - Earmarked / Endowment Funds	10
	10 Investments - Earmarked / Endowment Funds	11
	11 Current Assets, Loans, Advances	12
	12 Income From Sales / Service	13
	13 Grants / Subsidies	13
	14 Fees / Subscriptions	14
	15 Income From Investments	15
	16 Income From Royalty, Publication Etc.	16
	17 Interest Earned	16
	18 Other Income	17
	19 Increase / (Decrease) In Stock of Finished Goods & Work In Progress	17
	20 Establishment Expenses	18
	21 Other Administrative Expenses	19-20
	22 Expenditure On Grants, Subsidies Etc	21
	23 Interest	21
6	Significant Accounting Policies	22-23
7	Contingent Liabilities & Notes to Accounts	24
4	Receipts & Payments 2011-12	25-26
5	List of Investments	27

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
BALANCE SHEET AS AT 31ST MARCH, 2013

CORPUS/CAPITAL FUND AND LIABILITIES	Schedule	Current Year	Previous Year
CORPUS/CAPITAL FUND	1	15,404,152.00	5,683,438.00
RESERVES AND SURPLUS	2	0.00	0.00
EARMARKED/ENDOWMENT FUNDS	3	56,719,981.00	7,389,100.00
SECURED LOANS AND BORROWINGS	4	0.00	0.00
UNSECURED LOANS AND BORROWINGS	5	0.00	0.00
DEFERRED CREDIT LIABILITIES	6	59,237,892.00	18,622,144.00
CURRENT LIABILITIES AND PROVISIONS	7	11,345,656.00	6,497,389.00
TOTAL		142,707,681.00	38,192,071.00
ASSETS			
FIXED ASSETS	8	59,237,892.00	18,622,144.00
INVESTMENTS - FROM EARMARKED/ENDOWMENT FUNDS	9	74,543,924.00	0.00
INVESTMENTS - OTHERS	10	0.00	0.00
CURRENT ASSETS, LOANS, ADVANCES ETC.	11	8,925,865.00	19,569,927.00
TOTAL		142,707,681.00	38,192,071.00



Director

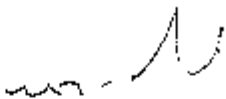
Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
INCOME AND EXPENDITURE ACCOUNT FOR THE YEAR ENDED 31ST MARCH, 2013

	Schedule	Current Year	Previous Year
INCOME	12	0	0
Income from Sales/Services	13	0	0
Grants/Subsidies	14	46,739,250.00	14,499,333.00
Fees/Subscriptions			
Income From Investments (Income on Invest. From earmarked/endow. Funds transferred to Funds)	15	0	0
	16	0	0
Income from Royalty, Publication etc.	17	0	0
Interest Earned	18	84,390.00	9,457.00
Other Income	19	0	
Increase/(decrease) in stock of Finished goods and works-in-progress		46,823,640.00	14,508,790.00
TOTAL (A)			
EXPENDITURE	20	17,563,232.00	6,137,462.00
Establishment Expenses	21	19,539,086.00	4,416,246.00
Other Administrative Expenses	22	0	0
Expenditure on Grants, Subsidies etc.	23	0	0
Interest		37,102,318.00	10,553,708.00
TOTAL (B)			
Balance being excess of Income over Expenditure (A-B)		9,721,322.00	3,955,082.00
BALANCE BEING SURPLUS/(DEFICIT) CARRIED TO CAPITAL FUND		9,721,322.00	3,955,082.00
SIGNIFICANT ACCOUNTING POLICIES	24		
CONTINGENT LIABILITIES AND NOTES ON ACCOUNTS	25		


Director

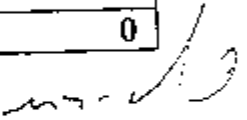
**Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF BALANCE SHEET AS AT 31ST MARCH, 2013**

	Current Year		Previous Year	
SCHEDULE 1 CAPITAL FUND				
1.1 CAPITAL FUND	15404152.00	15404152.00	5683438.00	5683438.00
TOTAL	15404152.00	15404152.00	5683438.00	5683438.00


Director

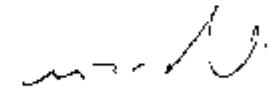
**Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
SCHEDULE FORMING PART OF BALANCE SHEET AS AT 31ST MARCH, 2013**

	Current Year		Previous Year	
SCHEDULE 2 - RESERVE AND SURPLUS:				
1. Capital Reserve:				
As per last Account	0		0	
Addition during the year	0		0	
Less: Deductions during the year	0	0	0	0
2. Revaluation Reserve:				
As per last Account	0		0	
Addition during the year	0		0	
Less: Deductions during the year	0	0	0	0
3. Special Reserve:				
As per last Account	0		0	
Addition during the year	0		0	
Less: Deductions during the year	0	0	0	0
4. General Reserve:				
As per last Account	0		0	
Addition during the year	0		0	
Less: Deductions during the year	0	0	0	0
TOTAL			0	0


Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF BALANCE SHEET AS AT 31ST MARCH, 2013

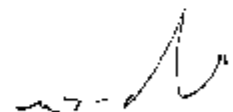
SCHEDULE 3 - EARMARKED/ENDOWMENT FUNDS	CURRENT YEAR				PREVIOUS YEAR
	PLAN GRANT RESERVE FUND 3.1	OTHER FUNDS 3.2	CORPUS FUND 3.3	TOTAL CURRENT YEAR	
a) <u>Opening balance of the funds</u>	5647254.00	883846.00	858000.00	7389100.00	31738485.00
Total (a)	5647254.00	883846.00	858000.00	7389100.00	31738485.00
b) <u>Additions to the Funds:</u>					0.00
i. Grants/Additions received during the year	136000000.00	914500.00	710000.00	137624500.00	1772617.00
ii. Income from Investments made or a/c of funds	2522329.00	0.00	0.00	2522329.00	1149904.00
iii. Other additions (specify nature)	0.00	0.00	0.00	0.00	2922521.00
Total (b)	138522329.00	914500.00	710000.00	140146829.00	34661006.00
TOTAL (a+b)	144169583.00	1798346.00	1568000.00	147535929.00	
c) <u>Utilisation/Expenditure towards objectives of funds</u>					
i. <u>Capital Expenditure</u>					
- Fixed Assets	53672742.00	0.00	0.00	53672742.00	16650390.00
- Others				0.00	
Total	53672742.00	0.00	0.00	53672742.00	16650390.00
ii. <u>Revenue Expenditure</u>					
- Salaries, Wages and allowances etc./ Stipend	37102318.00	0.00	0.00	37102318.00	10553708.00
- Rent	0.00	0.00	0.00	0.00	67808.00
- Other Administrative expenses	0.00	0.00	0.00	0.00	
Total	37102318.00	0.00	0.00	37102318.00	10621516.00
iii. <u>Deductions from the fund</u>					0.00
Expenses on the object of the Fund					0.00
Interest on GPF & Others	40888.00	0.00	0.00	40888.00	0.00
GPF Advances/Final Settlements, etc.					0.00
Others					0.00
Total	40888.00	0.00	0.00	40888.00	0.00
TOTAL (c)	90815948.00	0.00	0.00	90815948.00	27271906.00
NET BALANCE AS AT THE YEAR-END (a+b-c)	53353635.00	1798346.00	1568000.00	56719981.00	7389100.00



DIRECTOR

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
SCHEDULE FORMING PART OF BALANCE SHEET AS AT 31ST MARCH, 2013

SCHEDULE 4 - SECURED LOANS AND BORROWINGS:	Current Year		Previous Year	
		0		0
1. Central Government		0		0
2. State Government (Specify)				
3. Financial Institutions	0		0	
a) Term Loans	0	0	0	0
b) Interest accrued and due				
4. Banks:				
a) Term Loans				
- Interest accrued and due	0		0	
b) Other Loans (Specify)				
- Interest accrued and due	0	0	0	0
5. Other Institutions and Agencies		0		0
6. Debentures and Bonds		0		0
7. Others (Specify)				
TOTAL		0		0
		0		0

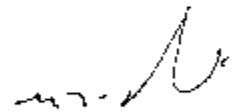


Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF BALANCE SHEET AS AT 31ST MARCH, 2013

SCHEDULE 5 - UNSECURED LOANS AND BORROWINGS:	Current Year	Previous Year
1. Central Government	0	0
2. State Government (Specify)	0	0
3. Financial Institutions		
a) Term Loans	0	0
b) Interest accrued and due	0	0
4. Banks:		
a) Term Loans	0	0
b) Other Loans (Specify)	0	0
5. Other Institutions and Agencies	0	0
6. Debentures and Bonds	0	0
7. Others (Specify)	0	0
TOTAL	0	0
Note: amounts due within one year	0	0

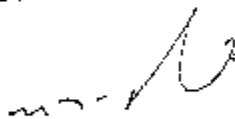
SCHEDULE 6 - DEFERRED CREDIT LIABILITIES:	Current Year	Previous Year
DEFERRED CREDIT LIABILITIES	59237892.00	18622144.00
TOTAL	59237892.00	18622144.00



Director

**Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
SCHEDULE FORMING PART OF BALANCE SHEET AS AT 31ST MARCH, 2013**

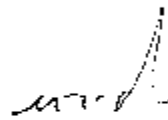
SCHEDULE 7(A) - CURRENT LIABILITIES AND PROVISIONS	Current Year		Previous Year	
CURRENT LIABILITIES				
07 CURRENT LIABILITY				
7.01 CAUTION MONEY	633000.00		533000.00	
7.02 FEES RECEIVED IN ADVANCE	2060770.00		2735300.00	
7.03 HOSTEL SECURITY	1203270.00		1194270.00	
7.04 SALARY PAYABLE (TEACHING STAFF)	67865.00		427019.00	
7.05 ADVANCE MESS FEES	0.00		47400.00	
7.06 COE FUND A/C	265000.00		0.00	
7.07 C.C.B. HELP CENTRE 2010-2011	50000.00		50000.00	
7.08 ELECTRICITY DEPOSITS	1897844.00		984844.00	
7.09 EXPENSES PAYABLE	1171133.00		42268.00	
7.10 Scholarship	532203.00		0.00	
7.11 INSTITUTE SECURITY (1ST YR 1ST SEM)	0.00		9000.00	
7.12 MESS EXPENDITURE / RECEIPTS	3457774.00		0.00	
7.13 SECURITY (MAINTAINANCE SERVICES)	2060.00		2000.00	
7.14 SALARY PAYABLE (DIRECTOR)	0.00		104500.00	
7.15 SALARY PAYABLE (GUEST FACULTY)	0.00		17939.00	
7.16 SALARY PAYABLE (NON TEACHING STAFF)	0.00		228294.00	
7.17 SECURITY (SECURITY SERVICES)	5000.00		5000.00	
7.18 G.P.F.	0.00		4800.00	
7.19 STALE CHEQUES	0.00		1706.00	
7.20 OTHER DEDUCTIONS (DIRECTOR'S SALARY)	0.00		2800.00	
7.21 TDS PAYABLE	0.00		27545.00	
TOTAL	11345656.00		6497389.00	



Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF BALANCE SHEET AS AT 31ST MARCH, 2013
 SUMMARY OF SCHEDULE 8

SCHEDULE 8 - FIXED ASSETS	GROSS BLOCK				DEPRECIATION (A.S.6)				NET BLOCK		
	DESCRIPTION	Cost/ Valuation As at beginning of the year	Additions during the year	Deductions during the year	Cost/ Valuation at the year end [A]	As at the beginning of the year	On Additions during the year	On Deductions during the year	Total up to the year end [B]	As at the Current year [A-B]	As at the Previous year
A. FIXED ASSETS:											
8.A.01 EQUIPMENT 40%	6051635.00	14874193.00	0.00	20925848.00	2420662.00	2765376.00	0.00	5185038.00	15739810.00	6051635.00	
8.B.01 FURNITURE & FITTINGS 25%	2319904.00	2718648.00	0.00	5030552.00	579976.00	298791.00	0.00	873767.00	4159785.00	2319904.00	
8.C COMPUTER & PERIPHERALS 40%	5728414.00	0.00	0.00	5728414.00	2291365.00	0.00	0.00	2291365.00	3437049.00	5728414.00	
8.D LIBRARY BOOKS 50%	2825281.00	25662159.00	0.00	28487440.00	1412640.00	1553548.00	0.00	2966188.00	25521252.00	2825281.00	
8.E PLANT & MACHINERY 40%	1606934.00	0.00	0.00	1606934.00	642775.00	0.00	0.00	642775.00	964159.00	1606934.00	
8.F OFFICE EQUIPMENT 40%	89956.00	164983.00	0.00	254939.00	35982.00	23432.00	0.00	64414.00	190525.00	89956.00	
8.02 TEMPORARY CONST. AT TEMP. LOCATION		9497921.00	0.00	9497921.00	0.00	788179.00	0.00	788179.00	8709742.00	0.00	
8.10 SPORT TEAM		754838.00	0.00	754838.00	0.00	232268.00	0.00	232268.00	515570.00	0.00	
TOTAL	18622144.00	53672742.00	0.00	72294886.00	7383400.00	5673594.00	0.00	13056994.00	59237892.00	18622144.00	

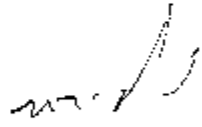


Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF BALANCE SHEET AS AT 31ST MARCH, 2013

SCHEDULE 9 (A) - INVESTMENTS FROM EARMARKED/ENDOWMENT FUNDS	Current Year		Previous Year	
09.01 FDR 31516379046 (S.T)		2271982.00		0.00
09.02 FDR 31516379388 (S.T)		2271982.00		0.00
09.03 FDR 31516379559 (S.T)		10000000.00		0.00
09.07 FDR 32627537730 (S.T)		10000000.00		0.00
09.08 FDR 32627540841 (S.T)		5000000.00		0.00
09.09 FDR 32627609264 (S.T)		5000000.00		0.00
09.10 FDR 32627612007 (S.T)		5000000.00		0.00
09.11 FDR 32627612788 (S.T)		5000000.00		0.00
09.12 FDR 32627613667 (S.T)		10000000.00		0.00
09.13 FDR 32764899103 (S.T)		10000000.00		0.00
09.14 FDR 32764900404 (S.T)		10000000.00		0.00
09.15 FDR 32764901838 (S.T)				
TOTAL		74543921.00		0.00

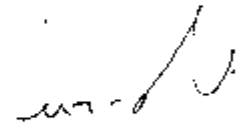
During 2010-11, 2011-12, amounts held as CLTD was shown under Bank Accounts as several transactions were found transferred from CLTD in to main Bank Account



Director

**Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF BALANCE SHEET AS AT 31ST MARCH, 2013**

SCHEDULE 10 (A) - INVESTMENTS	Current Year		Previous Year	
			-	
			-	
			-	
			-	
			-	
			-	
			-	
			-	
			-	
			-	
			-	
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			-	
			-	
			-	
			-	
			-	
			-	
			-	
			-	
TOTAL			-	


Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
SCHEDULE FORMING PART OF BALANCE SHEET AS AT 31ST MARCH, 2013

SCHEDULE 11 (A) - CURRENT ASSETS, LOANS, ADVANCES	Current Year		Previous Year	
11 CURRENT ASSETS				
11.A. LOANS & ADVANCES	20000.00	20000.00	24000.00	24000.00
11.B. SUNDRY DEBTORS	4500.00	4500.00	4500.00	4500.00
11.C CASH				
11.C.01 Cash in Hand	500.00	500.00	657.00	657.00
11.D BANK ACCOUNTS				
11.D.01 S.B.I. NIT UTTARAKHAND A/C NO. 31091775379	6631854.00		4456041.00	
11.D.02 CLTD A/C 31516379368	0.00		1843145.00	
11.D.03 CLTD A/C 31516379559	0.00		2003145.00	
11.D.04 CLTD A/C 31516379854	0.00		1571.00	
11.D.05 CLTD A/C 31516382937	0.00		15727.00	
11.D.06 CLTD A/C NO. 31516382472	0.00		10015727.00	
11.D.09 CLTD A/C 31516379048	0.00		7863.00	
11.D.10 REC.FDR A/C No.1209	0.00		50000.00	
11.D.11 Hostel NIT UK (31716090851)	1227911.00		232531.00	
11.D.12 POWER JYOTI 32157078743	1041100.00	8900865.00	805020.00	19540770.00
Total		8925865.00		19569927.00

Note : Cumulative Liquid Time Deposits (CLTD) were later converted to FDR amounting to Rs 14047178.00+5522749(remaining current assets)=19569927.00 Current Assets of 2011-2012.


Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF INCOME AND EXPENDITURE FOR THE YEAR ENDED 31ST MARCH, 2013

SCHEDULE 12C - INCOME FROM SALES/SERVICES	Current Year		Previous Year	
			0	
			0	
TOTAL		0		0

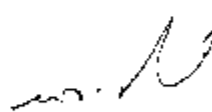
SCHEDULE 13 - GRANTS/SUBSIDIES	Current Year		Previous Year	
		-		
		0		0
TOTAL		0		0



Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
SCHEDULE FORMING PART OF INCOME AND EXPENDITURE FOR THE YEAR ENDED 31ST MARCH, 2013

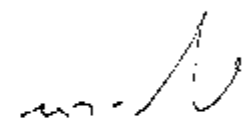
DIRECT INCOME :				
SCHEDULE 14 - FEES/SUBSCRIPTIONS/OTHER RECEIPTS	Current Year		Previous Year	
14.01 FEES				
14.01.01 TUITION FEES	9,135,120.00		2792825.00	
14.01.02 Late Fees	0.00			
14.01.03 SEAT RENT	497,600.00	9,632,720.00	401550.00	3194375.00
14.02 HOSTEL FEES				
14.02.02 HOSTEL CHARGES	0.00		480100.00	
14.22 WATCH & WARD	0.00	0.00	54000.00	534100.00
14.02 MISC. INCOME OF INSTITUTE	4,212.00	4,212.00		
14.03 PLAN GRANT REV. APPROPRIATION	37,102,318.00	37,102,318.00		10553708.00
14.07 EXAM REAPPEAR FEES		0.00		2900.00
14.12 HOSTEL DUES (1ST SEM -2011-12		0.00		199250.00
14.14 INSTITUTE FINE ETC.		0.00		15000.00
Total		46,739,250.00		14,499,333.00



Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF INCOME AND EXPENDITURE FOR THE YEAR ENDED 31ST MARCH, 2013

SCHEDULE 15 - INCOME FROM INVESTMENTS	Current Year	Previous Year
TOTAL	0.00	0.00



Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF INCOME AND EXPENDITURE FOR THE YEAR ENDED 31ST MARCH, 2013

SCHEDULE 16 - INCOME FROM ROYALTY, PUBLICATION ETC.	CURRENT YEAR	PREVIOUS YEAR
	0	0
	0	0
	0	0
TOTAL	0	0

SCHEDULE 17 - INTEREST EARNED	CURRENT YEAR	PREVIOUS YEAR
TOTAL	-	-




Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF INCOME AND EXPENDITURE FOR THE YEAR ENDED 31ST MARCH, 2013

SCHEDULE 18 - OTHER INCOME	CURRENT YEAR	PREVIOUS YEAR
18.01 VENDORS REGISTRATION FEES	82500.00	2,550.00
18.02 MISC RECEIPTS	1796.00	
18.03 RTI RECEIPTS	94.00	6,907.00
TOTAL	84,390.00	9,457.00

SCHEDULE 19 - INCREASE / DECREASE IN STOCK OF FINISHED GOODS	CURRENT YEAR	PREVIOUS YEAR
TOTAL	0.00	0.00



Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
SCHEDULE FORMING PART OF INCOME AND EXPENDITURE FOR THE YEAR ENDED 31ST MARCH, 2013

DIRECT EXPENSES (A)	CURRENT YEAR	PREVIOUS YEAR
SCHEDULE 20 - ESTABLISHMENT EXPENSES		
20.01 ESTABLISHMENT EXPENSES		
20.01 SALARY OF DIRECTOR	1751980.00	672800.00
20.01.01 SALARY OF DIRECTOR	116400.00	0.00
20.01.02 PENSION CONTRIBUTION EXPENSES	0.00	9954.00
20.03 LEAVE SALARY SUPPLEMENTARY	7057872.00	2923425.00
20.02 SALARY FACULTY	3184242.00	1479854.00
20.03 SALARY NON-FACULTY	0.00	282598.00
20.03 HONORARIUM EXPERT	0.00	474040.00
20.04 SALARY D GROUP EMPLOYEE	0.00	294791.00
20.07 SALARY GUEST FACULTY	2895272.00	0.00
21.04. UPKEEP & SANITATION CONTRACT	2557466.00	0.00
21.05. SECURITY SERVICES		
TOTAL	17563232.00	6137462.00


Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND
 SCHEDULE FORMING PART OF INCOME AND EXPENDITURE FOR THE YEAR ENDED 31ST MARCH, 2013

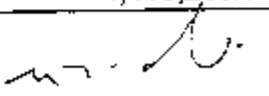
DIRECT EXPENSES

SCHEDULE 21 (A) - OTHER ADMINISTRATIVE EXPENSES	CURRENT YEAR	PREVIOUS YEAR
21.01 OTHER ADMINISTRATIVE EXPENSES		
21.01 CONTINGENCY		
21.01.01 ADVERTISING CHARGES	1287291.00	102374.00
21.01.02 AUDIT FEES	15000.00	0.00
21.01.03 BOARD & COMMITTEE MEETING	162882.00	6575.00
21.01.04 DIRECTOR'S RESIDENCE EXP.	327000.00	0.00
21.01.05 GUEST HOUSE EXPENSES	120895.00	0.00
21.01.06 MISC EXPENSES INSTITUTE	288330.00	0.00
21.01.07 PRINTING & STATIONERY	138604.00	143177.00
21.01.08 TRAVELLING EXPENSES	1134835.00	1394549.00
21.01.09 CONTINGENCY	50108.00	210805.00
21.01.10 POSTAGE / COURIER	0.00	8932.00
21.02 MAINTENANCE & REPAIRS	0.00	0.00
21.02.01 REPAIR FURNITURE(Hostel, Admin, Academic)	3970.00	0.00
21.02.02 BUILDING RENT	831700.00	60000.00
21.02.03 OTHER MAINTENANCE	334977.00	335479.00
21.02.04 MAINTENANCE OF WATER COOLER & OTHERS	5748.00	0.00
21.02.05 HOSTEL MAINT.	197688.00	342334.00
21.02.06 COMPUTERS & PERIPH. MAINT.	36053.00	45801.00
21.02.07 MINOR EQUIP. REPAIR & MAINT.	19341.00	9928.00
21.02.08 HOSTEL EQUIP & ACCESSORIES	75470.00	0.00
21.03 ESTATE MAINT.	0.00	0.00
21.03.01 CIVIL MAINTENANCE	5800.00	0.00
21.03.02 ELECTRICAL MAINT.	277652.00	13120.00
21.04 TRANSPORT	0.00	0.00
21.04.01 DIESEL, PETROL & OIL.	283471.00	22956.00
21.04.02 LMV HIRING CONTRACT	1352340.00	0.00

21.05 DEPARTMENTAL OPERATING EXP.		
21.05.01 COMPUTER CONSUMABLES	140701.00	6400.00
21.05.02 EXTENSION LECTURE	4459715.00	339580.00
21.05.03 HOSPITALITY EXPENSES	174107.00	0.00
21.05.04 LAB CONSUMABLES	325786.00	191320.00
21.06 HEALTH CARE EXPENSES		
21.06.01 HEALTH CARE	185185.00	2147.00
21.07 UTILITIES		
21.07.01 ELECTRICITY CHARGES	1550129.00	144713.00
21.07.02 LEASED LINE	841813.00	14472.00
21.07.03 TELEPHONE CHARGES	230696.00	295717.00
21.07.04 WATER CHARGES	52153.00	31729.00
21.08 OTHER EXPENSES	3561609.00	380.00
21.09 STUDENT AMENITIES	273555.00	57900.00
21.10 CURRICULAM DEVELOPMENT	599796.00	0.00
21.11 MINOR EQUIPMENTS	116187.00	0.00
21.12 NEWSPAPER & PERIODICALS	34604.00	8356.00
21.39 MESS MAINTENANCE	0.00	203700.00
21.44 SEMINAR AND WORKSHOP	0.00	9577.00
21.45 SECURITY CHARGES	0.00	370089.00
21.46 STUDENT'S STUDY TOUR	0.00	43020.00
TOTAL (A)	19495191.00	4415130.00

INDIRECT EXPENSES (B)

PARTICULARS	CURRENT YEAR	PREVIOUS YEAR
21.B.01 BANK CHARGES	43,895.00	1,116.00
TOTAL (B)	43,895.00	1,116.00
TOTAL (A + B)	19,539,086.00	4,416,246.00



Director

Name of Entity : NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND

SCHEDULE FORMING PART OF INCOME AND EXPENDITURE FOR THE YEAR ENDED 31ST MARCH, 2013

	CURRENT YEAR	PREVIOUS YEAR
SCHEDULE 22 - EXPENDITURE ON GRANTS, SUBSIDIES ETC.,		
TOTAL	0	0

	CURRENT YEAR	PREVIOUS YEAR
SCHEDULE 23 - INTEREST		
TOTAL	0	0



Director

NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND

SCHEDULE FORMING PART OF BALANCE SHEET AND INCOME AND EXPENDITURE ACCOUNT FOR THE YEAR
ENDING ON 31ST MARCH 2013

SCHEDULE 24 – SIGNIFICANT ACCOUNTING POLICIES:

1. Accounting convention

The financial statements are prepared under the historical cost convention and on the basis of generally accepted Accounting principles in India. Accounts are maintained on accrual system of accounting.

2. Revenue recognition

- a) Fees received are accounted on day to day basis and recognized only when there is no uncertainty attached.
- b) Interest on deposits is accounted for on as received basis.
- c) Grant in aid received for Capital and Revenue purposes are recognized on as and when received and is accounted as Earmarked Fund.

3. Grant-in Aid

The grant sanctioned is received in terms of GFR 211 and 212(1) Note 2 there-under and appropriated towards creation of assets and operational and maintenance (revenue) purposes. Grant for acquisition of fixed assets is recognized by capital approach and presented as capital grant in financial statements. The value of assets acquired out of Plan Grant is accounted as Deferred Credit liability as per AS 12. The amount equal to the Operation and maintenance expenditure is recognized as Revenue receipt and taken to Income and expenditure.

4. Fixed Assets

The gross book value of Fixed Assets are revalued and computed in terms Accounting Standard 10 (AS – 10).

5. Depreciation

The Depreciation as per Accounting Standard 6 (AS – 6) is provided at written down method and at historical cost. Depreciation is applied to the related Asset at the rate as per AS 6. Percentage of interest is shown in Schedule 8 against each Asset. Depreciation amount is transferred to Deferred Credit Liability. The effect of Depreciation on surplus / deficit for the year is thus nil.

6. Investments : Institute has invested the temporary surplus money keeping the directives and the guidelines provided by the Govt. of India, Ministry of Human Resource Development on the subject. Investments are stated at cost.

GARHWAL, UTTARAKHAND


(H T THORAT)
Director

NATIONAL INSTITUTE OF TECHNOLOGY, Uttarakhand
SCHEDULE FORMING PART OF BALANCE SHEET AND INCOME AND EXPENDITURE ACCOUNT FOR THE YEAR
ENDING ON 31ST MARCH 2013

SCHEDULE 25- CONTINGENT LIABILITIES

Schedule I Capital fund –

Surplus of Income and Expenditure of the year is transferred and depicted as Capital Fund.

Schedule 3.1 Plan Grant Reserve Fund:

As grant in aid is given for both creation of Assets and also for Salary and Non Salary expenditure grant received is accounted as Plan Grant Reserve Fund and is held as Earmarked Fund. This account indicates, opening balance carried forward, receipt during the year, interest if any generated on the receipt side and on the expenditure side expenditure incurred on creation of Capital Assets and Revenue expenditure on account of Salaries and Non-Salary expenditure is shown and the net unspent balance is arrived.

Schedule 3.2 Other Funds :

- (a) This Fund contains amounts received for Student related activities created from Fees received from the Students.
- (b) The fees received from students as alumni fees are also accounted under “other funds” and treated as Earmarked Fund.

Schedule 3.3 Corpus Fund :

This fund is in the nature of Endowment Fund created out of Fees of Capital nature and other designated and set aside funds. This fund will be administered as per Guidelines.

NOTES ON ACCOUNT

No significant contingent liability is noticed. Therefore no provision is made for contingent Liability

CURRENT ASSETS:

The previous year's balances have been recast and regrouped for clarity CLTD amount of Rs. 1,40,47,178.00 earlier shown along with Bank Accounts in 2011-2012 account now recast under Investment. Thus previous data for the year 2011-2012 is shown under current assets and Bank accounts).

DEPRECIATION:

Depreciation is charged to Assets at rates laid down in Accounting Standards 6 and transferred to Plan grant Asset Account. Thus Plan Grant Asset accounts for all plan grant expenditure is shown as credit balance reduced to the extent. Depreciation is applied as per Accounting Standard 6 and Accounting Standard 12. There is no effect of Depreciation on the Surplus / Deficit for the year. Besides, value of assets created out of Plan Grant account as reduced by Depreciation matches with the Plan Grant Asset account.

As an accounting practice, Assets received after the 15th of a month is depreciated from the ensuing month and those received prior to 15th of a month is depreciated even if the number of days are less than a full month.

ASSETS ACCOUNTING:

Assets procured which has a life of more than 3 years and of the value of Rs.10,000.00 only is accounted as assets and is treated as Capital Assets. Assets costing less than Rs.10,000 even if the same has more than 3 years life expectancy is treated as Revenue Expenditure and charged to Income and Expenditure.

Repairs/Renewals to Assets costing less than Rs.25,000 even if the same results in the extension of life and enhances performance will be treated as Revenue Expenditure and charged to Income and Expenditure. Such expenditure exceeding Rs.25,000 which extends life of the Assets and enhances performance will be treated as Capital Expenditure and Accounted as such.

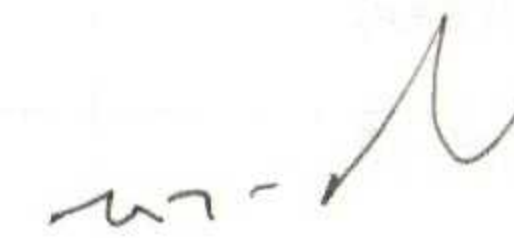
UTTARAKHAND


H T THORAT
Director

NATIONAL INSTITUTE OF TECHNOLOGY UTTARAKHAND				
SUMARI - SRINAGAR				
RECEIPTS & PAYMENT FOR THE YEAR ENDED ON 31ST MARCH 2013				
RECEIPTS	Current Year	Previous Year	PAYMENTS	(Amount - Rs.)
				Current Year
I Opening Balance			I Expenses	
a) Cash in hand	657.00	530.00	a) Establishment Expenses	15933750.00
b) Bank Balance	5493592.00	30047178.00	b) Administrative Expenses	5906236.00
II Grants Received			II Payments made against funds for various project	
a) From Government of India			Plan Grant	0.00
Plan Grant	138522329.00	1772617.00	Hostel Welfare Fund	0.00
Other funds	0.00	144500.00	Other Fund	0.00
Corpus funds	0.00	104000.00	Corpus Fund	0.00
III Income on Investments from			III Investments and deposits made	
a) Earmarked/Endow. Funds			a) Out of Ear marked/Endow Funds	90000000.00
b) Own Funds (other Investments)			b) Out of Own Funds (Investments - Others)	0.00
Withdrawal from FDR/Liquid Deposits	30000000.00	6000000.00		
Earmarked/Endow. Funds				
IV Interest Received			IV Expenditure on Fixed Assets & Capital work-in-Progress	
a) On Bank deposits	0.00	0.00	a) Fixed Assets & Capital work in progress	53376440.00
				0.00
V Other Income (Specify)			V Refund of surplus money/Loans	
Miscellaneous Income	113530.00	10400.00		
Rental Income				
Fees & Subscription	20862342.00	1133850.00		
Hostel Fees	0.00	459100.00		
VI Amount Borrowed			VI Finance Charges (Interest)	
VII Any other receipts (give details)			21.B.01 Bank Charges	0.00
Library Deposit & Library Reg. Deposit				
Caution Money	0.00	135000.00	VII Other Payments (Specify)	
Refundable Excess / Unclassified	0.00	5775900.00	Loans & Advances	
Hostel Deposits	0.00	900020.00	Sundry Debtors	
Stale Cheque			Recoverable Advance	5391561.00
Miscellaneous Fees		11785.00		1633000.00

(Amount - Rs.)

RECEIPTS	Current Year	Previous Year	PAYMENTS	Current Year	Previous Year
Tender Form Fees		0.00	Liabilities		
Earmarked Fund			Caution Money Deposit		
Scholarship	572200.00	355000.00	Salary Deductions		
Corpus Fund			Other Expenses	4801070.00	3755874.00
Other Receipts	1450.00	0.00	Expenses Payable		
Hostel		0.00	Bank account (Debit Balance of 2010-11)		324801.00
Education Loan		46400.00	Hostel		
Mess Advance Fees		47400.00	Education Loan		
CCB Help Centre	307544.00	50000.00	Fees	2789840.00	380707.00
Electricity Deposits		653909.00			
Security		16000.00			
			VIII Closing Balances		
Refund of Advances			a) Cash in hand	500.00	657.00
Recoverable Advance	1227018.00	734762.00	b) Bank Balance	8900865.00	
Others Refunds			In Current Accounts		19540770.00
			In Deposits Accounts		
			Savings Accounts		
Grand Total	197100662.00	48397781.00	Grand Total	197100662.00	48397781.00



Director

Grand Total

PAGE NO 26

0.00

74 542,841.00

NATIONAL INSTITUTE OF TECHNOLOGY UTTARAKHAND

SUMARI - SRINAGAR

9 Investments

1-Apr-2012 to 31-Mar-2013

PARTICULARS	Opening	Transactions		Closing
		Debit	Credit	
09.02 F.D.R. 31516379388 (S.T.)				2271962.00
09.03 FDR 31516379559 (S.T.)				2271962.00
09.07 FDR 32627537730 (S.T.)				10000000.00
09.08 FDR 32627540641 (S.T.)				10000000.00
09.09 FDR 32627609264 (S.T.)				5000000.00
09.10 FDR 32627612007 (S.T.)				5000000.00
09.11 FDR 32627612788 (S.T.)				5000000.00
09.12 FDR 32627613667 (S.T.)				5000000.00
09.13 FDR 32764899103 (S.T.)				10000000.00
09.14 FDR 32764900404 (S.T.)				10000000.00
09.15 FDR 32764901838 (S.T.)				10000000.00
Grand Total	0		0.00	74,543,924.00